

Sequoia Wealth Management Advisor Profile

Hamish McCathie

**Corporate Authorised Representative (No. 454655) of D2MX Pty Ltd
(ABN 98 113 959 596, A Participant of ASX Group)**

Version 1 Dated 25th February 2014

This advisor profile is to be read in conjunction with Sequoia Wealth Management Financial Service Guide (FSG) – Version 1 dated 25th February 2014.

The Adviser profile sets out the details of the Representative, the services and products they may provide and details of the remuneration they may receive.

Representative

Hamish McCathie is an employee of Sequoia Wealth Management and has been appointed by D2MX as a Representative (327094).

Areas of Authorisations

Hamish is authorised by D2MX Pty Ltd to provide General Advice and No Advice Services and deal in the following financial products:

- Basic and Non-Basic Deposit Products
- Foreign Exchange
- Securities (including Australian and International Shares)
- Standard Margin Lending Facility
- Derivatives
- Interests in Managed Investment Schemes including investor directed portfolio services
- Foreign Exchange Contracts
- Debentures, stocks of bonds issued or proposed to be issued by a government

The **General Advice** Hamish provides is provided to you without regard to your individual objectives, financial situation or needs. It is not specific advice for your personal circumstances and it is not intended to be relied upon by you as being appropriate for you. Before making any decision about investing in the financial product, you should consider the appropriateness of the general advice presented, having regard to your personal objectives, financial situation and needs. Further, you should read the Product Disclosure Statement or Information Memorandum relative to this advice before you determine to make an investment (if a PDS or IM is required).

The **No Advice** service that Hamish provides is to confirm a factual matter (such as the price of a security) or to assist in the acquisition of a financial product (such as the purchase of a number of shares on your personal trading account). Hamish will not provide any recommendation in respect of a financial product or its appropriateness for you. You will be making your own investment decisions, including the choice of investment, the dollar amount etc.

Hamish is not authorised to provide you financial services advice in any manner in which he recommends, states or infers that a financial product is appropriate for you, or that he has taken into account your personal objectives, financial situation and needs in relation to that financial product.

Experience and Expertise

Hamish has had over twenty years experience in the financial markets. Hamish has advised and traded on Futures Markets, Derivatives, options, Warrants and International and local equities.

Hamish owned his own Financial Markets business which specialised in Australian Equities and derivatives and he held the position of Managing Director for ten years.

Recently Hamish has focussed on portfolio wealth management specialising in Yield portfolios, Capital raisings, Initial Public Offerings (IPO's) and derivatives.

Professional Qualifications

Hamish holds:

Diploma of Financial Services FNS 50104 (Regulatory Guide 146 competencies include securities, derivatives, managed investments, government debentures, stocks and bonds, and Life insurance and Superannuation).

Remuneration

Sequoia Wealth Management retain 100% of all fees, initial or ongoing commissions paid by you. Hamish is a salaried employee of Sequoia Asset Management.

Other Benefits – Hamish may receive other benefits including share of profits, attendance at professional development programs, conferences and other incentives such as allowances and other related entertainment benefits.

Contact Details

D2MX

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Sequoia Wealth Management

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